**DRYLANDS TRANSFORM**

**Household survey – META FILE as of 7 June 2022**

**Male questions separate**

**Questionnaire guide   
for team leaders & enumerators**

# Meta data BASELINE AND FOLLOW-UP

|  |  |  |
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| **Interviewer visit** | | **Explanation** |
| HH code | \_\_\_\_\_\_ | Indicate the household code which is **given by the team leader**.  **Assign HH code even if interview unsucessful** |
| Enumerator’s name | \_\_\_\_\_\_ | Your name. This information is mandatory |
| Enumerator’s code | \_\_\_\_\_\_ | Your code. Assigned by the team leader. This information is mandatory |
| Date of visit | \_\_\_\_\_\_ | This information is mandatory.  If need to come back – include date of interview and add another date if the questionnaire was not completed the first time. |
| Name of supervisor (team leader) | \_\_\_\_\_\_ | Mandatory |
| Date when the interview was  re-checked by the team leader | \_\_\_\_\_\_ | To be filled in by the team leader after checking |
| Respondent's household code |  | Indicate the household code which is **given by the team leader**.  **Assign HH code even if interview unsucessful** |
| **Country** |  |  |
| County /District | (list - choose) | Choose the correct country and district from the list |
| GPS | Longitude\_\_\_\_\_  Latitude \_\_\_\_\_\_ | Save a point in the GPS and make sure the coordinates and altitude appear with satisfactory accuracy |
| Subcounty | (list - choose) | Ask the respondent and choose the correct option |
| Ward | (list - choose) | Ask the respondent and choose the correct option |
| Parish (Uganda) / Location (Kenya) | (list - choose) | Ask the respondent and choose the correct option |
| Language of interview | \_\_\_\_\_\_ | Indicate the language mainly used during the interview. |
| **For completed interviews**: |  | Mandatory: name/ code for man / woman, HH code |
| Respondent's name – Household head | \_\_\_\_\_\_\_\_\_ | Name of the male respondent in the HH. He should be in reproductive age and preferably have a young child <5 yrs. |
| Respondent's ID – Household head  *Should be 01* | Code | Code for the respondent according to the format describe above.  If the household head is female, she should have code 01 and answer all the male questions. If she is also the mother/caretaker of the index child, she should also answer the questions of the female. If not being the mother/caretaker of the index child, the mother/female caretaker should be asked to answer questions in section J and questions related to the index child in section K.  If there is only a female respondent available in a male headed household, ask the female to decide if she could also answer the male part or if it should be another male member in the household to answer these questions. Make a note regarding who answered the questions in the “other comments” field under section A “Meta data”. The male head who is away should still be numbered 01. |

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|  |  | **Explanation** |
| Other comments | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Any other information of interest about the interview |

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***PART 1 – MALE***

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**This text for explaining Section A + B.**

***[Enumerator: I would like to start with a few questions to you (male) head of the household.]***

# Socio-Demographics BASELINE + FOLLOW-UP

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| **Question** | **Categories / details** | **Explanation** |
| Household type  *01=Male headed*  *03=Female headed*  *06=Child headed (age 18 or under)/Orphan - if yes: who supports (e.g. neighbor)*  *96=Other, specify* | *Enter number*  *\_\_\_\_ (support for child headed HH)* | Enter the correct code and in case of other you need to write an explanation |
| Has your family always lived in this village? | 1=Yes, 0=No | Were the respondents born in this village and have remained there since then? |
| *If No:* When did you move here? (Month and year) | \_\_\_\_\_\_\_\_ | If the respondent has stayed in other place/village, indicate the month and year of when they moved to this place. |
| *If No:* Where did you move from? | \_\_\_\_\_\_\_\_ | Indicate where the responent has moved from. |

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| **Characteristics of respondent(s) and HH members**  *Definition Household: Those who eat from same cook stove / in same household (only those who were present most of the time during at least 3 months in the last 12 months).*  *Include household members who were away in search of pastures and water during this period. Include workers or servants only if resident in the last 3 months in the household.*  *Enter the index child as first member after the two respondents, code 03.*  *In case of polygamous marriage, only household members for one wife.* |

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| Is there a child in the family aged between 6-59 months – (yes/no) | If Yes, the youngest of those will be the index child.   1. Occasions when the second youngest child is chosen if available: 2. The youngest child is not present and cannot easily be collected during the day of the interview. 3. The mother has no knowledge of the food intake of the index child from the day before the interview 4. If anthropometric measurements are impossible due to for example spasticity, missing limbs. 5. If the index child is not available for measurement during the day of the interview and there is no other child in the age of 6-59 months, but the female caretaker knows of the food intake of the child during the day before, still do the 24-hour recall. 6. In case of having twins, the last born (youngest of the two) should be chosen as the index child. |
| [If Yes, index child] Is the mother of that child available? (yes/no) | If Yes, this will be female respondent  If No, does the child have another caretaker available? |
| [If no Index child]  Who is the choosen woman?  Code\_\_\_\_\_\_\_\_ | If there is no index child in the household, a breastfeeding woman should be the preferred female respondent (that is, breastfeeding a child younger than 6 months or older than 59 months).Third choice is a pregnant woman, and final choice a non-breastfeeding, non-pregnant woman aged 15-49 years of age. |

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| ***This text to explain section B.***  ***[Enumerator: I would now like to ask (both of) you some questions about the different household members including children – ages, duties.]*** | | | | | The description about the household could preferably be answered by the woman. | |
| **Name of household member** | **Household member code**  **Index child?** | **A. Gender**  1=Male, 2=Female | **B.**  **Year of birth** (four digits, example 2001)*(approximate year if unknown)* *(include month if child under 2 years)* | **C.**  **Relation to HH head**  1=Head,  2=Spouse, 3=Son/Daughter, 4=Sister/Brother, 5=Grandchild, 6=Father/Mother,  7= other (cousin, niece etc, non-relatives) | | **D.**  **Social networks (last 6 months)**  *(for persons born 2007 or earlier / aged 15 or older)*  1 = Trusted positions (as community leadership, local authorities or in the government),  2= social/ welfare & community development groups  3 = savings and loaning/credit groups,  4 = agricultural producer groups,  5= church group,  6= Traditional / cultural groups  7 = none  8= other, please specify |
| **Explanations** | | | | | |  |
| Write the full name of each member | Indicate the code of this member.  (01 male respondent, 02 female respondent  03 index child (if there is one. If there is no index child number 03 should not be used)  Continue with 04 etc. |  | If unknown age: Estimate year of birth by approx. age. Use “event calender” for area to estimate age.  Include month of birth if child under 2 years) | Indicate the relation to the HH head.  Only one per person; pick the first one that is relevant. Son/daughter means biological child to at least one of the parents. | | Social networks. In which groups is anybody of your household a member of?  [Enumerator: Complete the following table indicating any household member who belonged to a local or external organization/group or committee (including farmers associations, women’s associations, youth associations, elders associations, church/mosque association, water users group, mutual support group, input supply cooperative, marketing cooperative, savings group, etc.) in the last 6 months].  Complete one row per group which the household (any person) is a member of. |

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| **D.**  **Years of formal school**  *(all persons born 2018 or earlier / aged 4 years or older)* | **E.**  **Home occupancy**  1= permanent resident (10-12 month present)  2= sometimes away (< 3 months/year away)  3= frequently away (3–9 months/year away) | **F.\***  **What activities does the person do?**  *(all persons born 2018 or earlier = 4yrs or older)* | **G. \*\***  **Marital status**  *(for persons born 2010 or earlier / aged 12 or older)*  1=Single (never married)  2=Monogamous married  3=Polygamous Married  4=Separated or divorced 5=Widow/widower  6 = cohabiting (mono or poly) | **H.**  **If polygamous** married:  Number of wives \_\_\_ |
| **Explanations** | | | | |
| Write the total number of years in any form of education.  If it is not a full year, round it to the closest number. ½ year is rounded downwards. | Indicate the option that is closest to reality during the last 1 year. | Tick all relevant options for each person unless it is mostly being done by another person.  Here, “other” can include more than one task.  See list below table | Only one option is possible. Read all options before ticking one.  Response alternatives 2 and 3: Marriage can include being traditionally married such as receiving a bride price, having a religious marriage (in a church/mosque or others) or being legally married. | Add total number of wives. |

\* 1 = Crop farming (incl.sales) 2= Fetch water and fuel 3 = Livestock & poultry keeping (own livestock and incl. sales)

4 = Trading in livestock and livestock products (not own)

5 = Trading in agricultural products other than livestock and livestock products (not own produce)

6 = Formal Salaried employee (e.g. civil servant, domestic work)

7 = Casual labourer / informal employee (off-farm – non-agriculture)

8 = Business – trade / services (non-agric.)

9= Look after livestock (family’s or other’s livestock) or farm labour

10= Taking care of children or siblings /

11=Buying food; cooking / House maintenance

12= Unemployed 13 = Old/Retired 14 = Student/ pupil 15 = Other (specify) \_\_\_\_\_\_

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|  | **Explanation** |
| Other comments | Any other information of interest |

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***This text for explaining Section C.***

***[Enumerator: “I would like to ask some questions about the livelihoods of the household.”]***

# Livelihoods

## Land access and ownership

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| **Question** | **Categories / details** | **Explanation** |
| Is this household fully dependent on livestock, on crops or both? | □ Livestock-dependent  □ Crop-dependent  □ Both crop & livestock dependent  □ Other main source of dependency, which \_\_\_ | Tick one option that fits best. Remember to always mention all alternatives to the respondent so that you don’t miss those having both crops and livestock. |
| **Land access / ownership** |  |  |
| How much land do your household have access to during the wet season? | Crops (acre) \_\_\_  Livestock grazing (acre) \_\_\_ | Indicate in acres for crops and livestock respectively. If no access write 0. |
| What kind of land access / ownership do you have? | 1. Private title deeds (documented ownership) (yes/no) 2. Community title deeds (privately owned / informal agreement in community) (yes/no) 3. Land owned without formal title deed (yes/no) 4. Land under process of becoming formally owned (yes/no) 5. Group title deed (yes/no) 6. Communal grazing land (yes/no) 7. Renting or leasing land for crops (yes/no) 8. Renting or leasing land for grazing (yes/no) | Indicate yes/no for all seven questions. |
| If you are part of a communal title deed, how many member households are included? | \_\_\_\_\_ member households | Add number of member HH:s, in case replied “communal title deed”. Minimum number 2 |
| Are you aware of any formal communal land registration in your country | Yes/no | Choose yes / no for the resp. option of your country |

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|  | **Explanation** |
| Comments regarding land access / ownership | Any other information of interest specifically regarding land ownership |

***[Enumerator: I would now like to ask some questions about livestock. It is important that your answers are correct as the results of the project will inform policy making in Kenya/Uganda***

## Livestock, poultry and other animals

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| --- | --- | --- |
|  |  | **Explanation** |
| Do you keep any animals? | Yes / no | Mention different types on animals – *animals like cattle, sheep, poultry* |
| If no: Did you use to keep animals? | Yes / no |  |
| *If yes, I used to keep animals:*  If you no longer keep livestock, why not anymore? | * Due to drought * Theft / raids * Poverty * Security issues * Animal diseases * Other, which? \_\_\_\_ | Multiple answers are possible  Answer only if the respondent had before livestock, but not anymore. |

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| **If yes (currently owning livestock):**  **How many heads are your livestock herd?** | **Actual number** | **Explanation** |
| Cattle (adult and young) |  | Adult and young counted together |
| Sheep (adult and young) |  |  |
| Goats (adult and young) |  |  |
| Camels (adult and young) |  |  |
| Poultry |  |  |
| Donkeys |  |  |
| Pigs |  |  |
| Other, which? |  | Indicate which typ and the number of animals |

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| --- | --- | --- | --- | --- |
| **If yes: Has your livestock herd changed in the last 5 years?** |  |  |  | **Explanation** |
| **Type of Livestock** | **Increased** | **No change** | **Decreased** |  |
| Cattle (adult and young) |  |  |  | Tick only one option |
| Sheep (adult and young) |  |  |  |  |
| Goats (adult and young) |  |  |  |  |
| Camels (adult and young) |  |  |  |  |
| Poultry |  |  |  |  |
| Other, which? |  |  |  | Indicate which type and the number |

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| **How often do you sell life livestock or livestock products?** | | | | | | |  | **Explanation** |
| **Type of Livestock** | **never** | **daily** | **weekly** | **monthly** | **seasonally** | **yearly** | **Not applicable** | Tick only one option.  Tick “not applicable” if the animal is not in the household |
| Adult cattle |  |  |  |  |  |  |  | Indicate for adult cattle only |
| Young cattle |  |  |  |  |  |  |  | Indicate for young cattle only |
| Goats / sheep |  |  |  |  |  |  |  |  |
| Chicken |  |  |  |  |  |  |  |  |
| Egg |  |  |  |  |  |  |  |  |
| Milk /Ghee |  |  |  |  |  |  |  |  |
| Other, which? \_\_\_\_\_\_\_ |  |  |  |  |  |  |  | Indicate which type and the number. Only one other option is possible |

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| **How often do you milk the livestock per day, and/or how much milk do you get?** *Indicate times and/or litres per day* | **Wet season** | | **Dry season** | |  | **Explanation** |
| **Type of Livestock** | **Times/day** *(0, 1, 2, 3 or more)* | **Litres/day** | **Times/day** *(0, 1, 2, 3 or more)* | **Litres/day** | **Not applicable** | Indicate times per day (max 2)  and litres per day for each species, for each season.  Tick “not applicable” if this animal is not in the household |
| Cows |  |  |  |  |  |  |
| Goats |  |  |  |  |  |  |
| Camels |  |  |  |  |  |  |

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|  |  | **Explanation** |
| **How has the availability of pasture on managed land changed over the past 5 years?** |  Increased   No change   Decreased | Tick only one option |

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| --- | --- | --- |
|  |  | **Explanation** |
| **How has the availability of water for livestock changed over the past 5 years?** |  Increased   No change   Decreased | Tick only one option |

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| **What is your strategy to cope with changes in livelihood conditions for livestock keeping?**  *(You can state several alternatives)*  *(By this we mean changes in fodder, water, land availability or other conditions)* | **Explanation** |
|  Reduce herds | Reduce no of livestock in the herd |
|  Increase herds | Increase the no of livestock in the herd |
|  Change composition of herd | Change of the numbers of different livestock e.g increase one livestock type, decrease another or introduce a new type of livestock |
|  Migrate further distances | Walk further or more frequently with the livestock to get grass and/or water |
|  Diversifying livelihood, e.g. taking up other activities/jobs | Getting income from other sources than livestock |
|  Active management of fodder resource | Starting to plant fodder/collect fodder or enclose fodder areas, burn grazing areas etc |
|  New water boreholes | Digg new boreholes for water access |
|  Other, please define | Explain another strategy if ticking this option.  Write “none” if no strategy at all has been used |
|  No change | Tick here if you have little or no changes in livelihood conditions. |

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| **If you answered “active management of fodder resources” in the previous question – how?** *(You can state several alternatives)* | **Explanation**  Several options are possible |
|  Grass seeding |  |
|  Seasonal grass protection (by fence or agreement) |  |
|  Bush and/or tree management |  |
|  Bush burning / fire prescription |  |
|  Management of invasive species |  |
|  Other, please specify:\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |

## Farm level questions

|  |  |  |
| --- | --- | --- |
| **Crops grown during the last 12 months** | **Did your household grow it in the last 12 months?**  *0=No, 1=Yes* | **Explanation**  Tick yes or no for each crop  Note: time frame here last 12 months |
| Maize |  |  |
| Sorghum |  |  |
| Millet |  |  |
| Beans |  |  |
| Groundnuts |  |  |
| Cassava |  |  |
| Sweet potatoes |  |  |
| Traditional vegetables |  |  |
| Other, which? | \_\_\_\_\_\_\_\_ | Add any other crops not on this list |

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| --- | --- | --- |
| **Trees close to your home (owned or on communal land)**  *(Give local name of tree species)* | **Approximate number** | **Use/purpose** |
| **EXPLANATIONS** | | |
| *Name 1* | Estimate the number of trees | Multiple answers possible – see categories under the table |
| *Name 2* |  |  |
| *… (max 5 names)* |  |  |
| During the last 12 months, have there been trees cut, managed or pruned? | Yes or no |  |
| During the last 12 months, have there been trees planted? | Yes or no |  |
| Has the number of trees (in your homestead /farm or in the nearby landscape - communal land) changed in the last 5 years? | Increased  No change  Decreased | Tick one option |

***Categories for use****: 1=timber, 2=fruits, 3=fodder, 4=firewood, 5=charcoal, 6=medicinal, 7= other, specify*

|  |  |  |
| --- | --- | --- |
|  |  | **Explanation** |
| Has your area of crops changed in the last 5 years? | Increased  No change  Decreased | Tick one option |
| Do you use any inputs for your crops in order to get better yields (multiple anwers possible)? | 1. Buy seeds 2. Use own seeds 3. Buy chemical fertiliser 4. Buy manure 5. Use own manure 6. Use crop residues 7. Other, specify: \_\_\_\_\_\_\_ | Choose all that apply.  For “other”, specify |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **How often do you sell hay or fodder in the last 12 months?** |  | | | | | | **Explanation** |
|  | **daily** | **weekly** | **monthly** | **seasonally** | **yearly** | **never** |  |
| Hay/fodder |  |  |  |  |  |  | Tick only one option.  Choose “never” if no hay/fodder is owned, or if none was sold  Note time frame: 12 months. |

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|  |  | **Explanation** |
| What is restricting your farm production mostly?  *3 most important* | 1= Lack of money to invest  2= Lack of land  3= Labour  4= Knowledge  5= Tenure security  6 = Water security  7 = Other: specify | Choose exactly 3 of these.  Choose the largest restriction first, then the second most restricting factor, last the third most restricting factor. |

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|  | **Explanation** |
| Other comments | Any other information of interest for livelihoods section |

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**This text for explaining Section D.**

***[Enumerator: I would like to ask some questions about migration]***

# Migration

## Regular migration with livestock BASELINE

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| *If respondet answered “yes” in 3.02 “Do you keep any livestock?”* | **Categories / details** | **Explanation** |
| Do you migrate with your livestock | Yes/No | The man himself must not necessarily go with them |
| Who makes the decision when it’s time to migrate with the livestock? | 1. Household head 2. Community Elders 3. Other, Specify \_\_\_\_\_\_ | Only one option possible.  If other, please specify who.  It does not have to be the person who is doing the actual migration. |
| Who is the person in charge of migrating with the livestock, i.e., herder? | 1. Member of household 2. Externally hired person from same community 3. Externally hired person from different community | Tick only one option  2. Somebody from the village/community was paid for migrating with family’s livestock  3. Somebody from another village/community was paid |
| Do you / the herder migrate with animals seasonally or only in extreme years? | 1. Regularly (more than two times in a year) 2. Seasonally (once or twice per year) 3. Only in extreme years | Tick one option |

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| --- | --- | --- | --- | --- | --- | --- | --- |
| **Where do you take animals to graze and water in different seasons?** | | | | | | | |
| **Explanation**  In ODK all species will appear. Add any species missing.  If the respondent does not have the specific species, tick “not applicable”  Provide name of destination, and distance both in KM **and** days, separately for wet and dry season. | | | | | | | |
| Livestock species | Where do you graze during the **wet season**?  *(name location)* | Distance covered  *in km* | Distance covered  *in days* | Where do you graze during the **dry season**?  *(name location)* | Distance covered  *in km* | Distance covered  *in days* | Not applicable |
| Camels |  |  |  |  |  |  |  |
| Cattle |  |  |  |  |  |  |  |
| Goats |  |  |  |  |  |  |  |
| Sheep |  |  |  |  |  |  |  |
| Donkeys |  |  |  |  |  |  |  |
| Other, which \_\_\_\_ |  |  |  |  |  |  |  |

## Human migration during the past 6 months BASELINE AND FOLLOW-UP

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|  | | | | | | |
| Did anybody from this household migrate (leave this place) temporarily during  the past 6 months (i.e. with the intention to return)?  *We consider here voluntary or involuntary/forced migration, regular/seasonal or single occasions. Excluding those who leave for good –eg. children moving out/to town.* | | | | | | Yes - No |
| If yes: On how many separate occasions did anyone migrate? | | | | | |  |
| ***For each occasion ask about these details:*** | **How many people moved?**  - Single person  Or *(tick box)*  Group w same purpose  Or *(tick box)*  - whole HH  Or *(tick box)*  - extended family | **When did they leave?** (month) | **When did they return?**  (month)  **OR:**  **Tick “did not yet return”** | **Where to did they migrate?**  (name of destination) | **What was the purpose / cause of migration?**  *(multiple answers possible)*   1. Looking for work 2. For education 3. Expected or actual violent conflicts within/ between communities 4. Food shortage 5. Drought 6. Other extreme weather event 7. Destruction of property/housing 8. Land shortage 9. Conflicts within the family 10. Seasonal migration with livestock 11. Other purpose / cause, which? \_\_\_ 12. Don’t know | |
| **EXPLANATIONS** | | | | | | |
|  | Choose **either** the number of HH-members migrating together (single or group),  **Or** tick whole HH if all in this HH,  **Or** tick extended family, if migrating even with other wives or relatives outside the HH |  | If they returned from that occasion, give month.  If they are still away, tick “didn’t return” | Name location / destination | Choose all reasons (purposes or causes) that apply.  Choose “don’t know” eg. when respondent was not involved in this migration and doesn’t know the reason. | | |
| Occasion 1 |  |  |  |  | Max. 5 occasions in ODK | | |
| Occasion 2 |  |  |  |  |  | | |
| … |  |  |  |  |  | | |

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|  | **Explanation** |
| Other comments | Comments on the above that can make it easier to understand |

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**This text for explaining Section E+F.**

***[Enumerator: I would like to ask some questions about the assets, income and expenditures of the HH.]***

# Assets / wealth *BASELINE*

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| --- | --- | --- |
| **Question** | **Categories / details** | **Explanation** |
|  |  |  |
| **Wealth items** |  | If other option, specify which |
| Does your household have | 1. Mosquito nets 2. Electricity 3. Radio 4. Television 5. Computer 6. Refrigerator 7. Other, comment | Multiple options are possible.  Choose all that are owned and in the HH |
| Does any member of this HH own | 1. Watch 2. Mobile phone 3. Bicycle 4. Motorcycle or motor scooter 5. Animal-drawn cart 6. Car or truck 7. Other, comment | Multiple options are possible |
| **Facilities** |  |  |
| What type of roof for the main house  *Enumerator assesses if possible* | *Codes for roof type*  1. Iron sheet/metal roof;  2. Tiles (clay);  3. Grass thatched;  4. Asbestos sheet (mapale);  9. Other \_\_\_\_ | Multiple options are possible |
| What type of floor for the main house | *Codes for floor type*  1. Tiles:  2. Cement;  3. Mud/cow dung | Multiple options are possible |
| What type of walls for the main house | *Codes for wall type*  1. Burned bricks;  2. Concrete blocks;  3. Mud blocks;  4. Stone;  5. Timber and grass;  6. Wooden;  7. Wattles (sticks) covered with mud (mud and wattle);  8. Grass;  9. Other (specify) \_\_\_\_\_\_\_\_\_ | Multiple options are possible |
| What kind of toilet does your household have? | 1. Toilet (uses water)  2. Latrine (enclosed)  3. Latrine (open)  4. Other, which \_\_\_\_\_\_\_\_\_\_  5. None | Tick all that the HH as access to.  If other, please specify.  Choose “none” only as single answer. |
| What type of water sources does your household have access to?  (used for  1) for drinking, cooking,  2) for hygiene,  3) for livestock  more than one answer possible)  *Report distance as travel time in minutes and km* | 1. Piped water; y-n  Distance *Minutes: \_\_\_ km: \_\_\_\_\_*  2. Borehole; y-n  Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  3. Well or sand well; y-n Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  4. Spring; y-n  Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  5. Stream/River; y-n  Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  6. Lake; y-n  Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  7. Pond/Dam/flood; y-n  Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  8. Harvested rain water y-n  Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_*  9. Other, which? \_\_\_ Distance *Minutes: \_\_\_\_\_ km: \_\_\_\_\_* | In ODK, these are three separate, repeated blocks for drinking/cooking, then for hygiene, then for livestock.  “hygiene” – washing (people, clothes, dishes).  “livestock”: drinking water for animals.  For each source, tick yes or no  If yes: Indicate **both** distance in minutes and km |

|  |  |  |
| --- | --- | --- |
| Type of stove used for cooking | 1. Cooking stones 2. Clay stove, 3. Metal stove, 4. Gas cooker/stove 5. Other, which? \_\_\_\_\_\_\_\_\_ | Indicate all that are normally used. |

|  |  |  |
| --- | --- | --- |
|  |  | **Explanation** |
| What kind of energy supply does your household have for lighting?  *(several answers possible)* | 1. Public-electricity supply  2. Solar  3. Generator  4. Kerosene  5. Candles  6. Torch  7. Grass/Firewood (Muuni)  8. Car/Motorcycle Batteries Rechargable  9. Dry-cells/Batteries (non-rechargable) connected to bulbs  10. Kerosene mixed with diesel  95. Other (specify): \_\_\_\_\_ | Energy – only for light in the house.  Multiple answers are possible |
| How much time is usually spent each day for fire wood collection?  *If not done daily: daily time averaged over 1 week* | \_\_\_\_ (minutes) | Recalculate hours into minutes  If not done daily: daily time averaged over 1 week |
| Costs for energy per week  *For lightning, cooking etc* | \_\_\_\_\_\_\_\_\_\_ | Cost for for lightning, cooking etc per week. (In KES or UgX) |

|  |  |  |
| --- | --- | --- |
| Does any member of this household use MPESA or similar mobile money / banking services? | Yes / no | Indicate yes/no for any financial service that is connected to a mobile phone. |
| Does any member of this household have an account in a bank or other financial institution? | Yes / no | Indicate yes/no if any person in the household have a bank account or service with a financial institution other than through the mobile. |

|  |  |
| --- | --- |
|  | **Explanation** |
| Other comments | Any other information of interest |

**============================================================================**

# Income & Expenditures *BASELINE*

***Note Enumerators: Time frame last 12 months.   
Indicate in currency of study site’s country, convert if necessary***

## Expenditures

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Question** | **Months** *(program brings these up automat.)* | | | **Explanation** |
| *Enumerator assesses month by month:*  In the past **12** months, did income exceed expenditures, or expenditures exceed income, or are they more or less even?  *Indicate the month when any of these occurances occur in the next columns (Multiple responses allowed)* | Income > Expenditures | Income < Expenditures | About even |
|  |  |  | Was there any month that you had to borrow money |

|  |  |  |
| --- | --- | --- |
| What were your households’ **expenditures last 12 months**?  *Enum: start from week, probing* | | **Explanations**  Note: Time frame Month of May |
| **Total** | Shillings \_\_\_\_\_\_\_\_\_ | Indicate in Ugandan or Kenyan shilling |
| Question  **Expenditures during the last 12 months** | Did you incur this expenditure (1=yes, 0=No)? |  |
| 1. Buying food |  | Everything for eating and drinking |
| 2. School fees, uniforms, and scholastic materials |  | All that is needed for school for all schooling children |
| 3. Livestock feed |  | Feed for livestock including dairy meal |
| 4. Other farm inputs (e.g. seeds, fertilizers & agro-chemicals) |  | Seeds, fertilisers, pesticides, tools etc. |
| 5. Labour |  | Payment for labour services |
| 6. Mobile phone services |  | Airtime and other mobile services |
| 7. Gifts or assistance to another household |  | Gifts to others or to church or other organisations |
| 8. Medicine and other health expenses |  | Medicine and other health expenses for HH members |
| 9. WASH expenditures (soap, sanitary material etc.) |  | Expenses for soap, detergent and other sanitary items. |
| 10. Celebrations/Social Events/Funerals |  | Costs for celebrations and funerals or other social events in the last 12 months. |
| 11. Alcohol/Palm wine & Tobacco |  | Sensitive question. Try to get an estimate, do not ask by whom in HH used. |
| 12. Household clothes and footware |  | Excluding school uniforms |
| 13. Other expenditures, specify:**\_\_\_\_** |  | Specify which, indicate Shilling |

## Income

|  |  |  |  |
| --- | --- | --- | --- |
|  |  | | **Explanation** |
| Who has control of the income? | 1. Male respondent/Husband 2. Wife 3. Both husband and wife 4. Other, specify \_\_\_\_\_\_\_\_\_\_ | | Indicate the person or persons who take major decisions about how to use and handle the income. |
| What was your households’ **total** **income last 12 months**? (*convert to local currency)* | | | Note: Time frame last 12 months |
| Total income | \_\_\_\_\_\_\_\_ Shillings | |  |
| Question  Income sources last 12 months | Did you have this source of income? 1=yes, 0=No | | Tick yes/no |
| 1. Sale of livestock and livestock products | **Add amounts\_\_\_\_shilling** | | Sale of life animals or meat/skin etc and also sale of crops and crop products. |
| 2. Sale of crop production and hay | **Add amounts \_\_\_shilling** | |  |
| 3. Sale of services (e.g. oxen to plough, boda boda, livestock services, etc) |  | | Different types of services |
| 4. Other sales (e.g. charcoal, firewood, timber,honey, medicinal plants, bricks): specify \_\_\_\_ |  | | List all other sales, separated by comma, calculate/ estimate total sum |
| 5. Business income |  | | From any company in HH |
| 6. Wages or salaries in cash |  | | Money from formal jobs that have contracts. |
| 7. Other casual cash earnings |  | | Money from day to day work/casual jobs. |
| 8. Cash remittances from friend or family away from home |  | | Money sent from friends, church members or family members staying elsewhere. |
| 9. Fishing |  | | Money from fishing in lake or fish from a pond. |
| 10. Selling local brew |  | | Sensitive question, try to get estimate |
| 11 Rent received (incl. renting out land, housing, machines) |  | |  |
| 12. Pension received |  | |  |
| 13. Governmental allowances |  | |  |
| 14. Mining |  | |  |
| 15. In kind payment, estimated amount |  | |  |
| 16. Other income (Specify which: \_\_) |  | |  |
| Comment | | For instance if people is paid in kind – what were they givent | |

## Future perspectives

|  |  |  |
| --- | --- | --- |
| **Where do you think you will be 10 years from now?**  *For each question choose one option*  *Note: New time frame* |  |  |
| Geographically | 1. Here, same place 2. In a town, same region 3. In a city 4. Another rural area |  |
| Livelihoods / wealth-wise | 1. Same as now 2. Better than now 3. Worse than now |  |
| Job / occupation-wise | 1. Same as now 2. Better than now 3. Worse than now |  |

|  |  |
| --- | --- |
|  | **Explanation** |
| Other comments | Any other information of interest |

**=============================================================================**

**This text for explaining Section G.**

***[Enumerator: I would like to ask questions about different challenges that your household might be facing]***

# Crises BASELINE+ FOLLOW-UP

## Livelihood shocks

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Question**  *Has your household been affected by any of these* ***events*** *during the past 6 months?* | **Not applicable** | | **Response 1=yes 0=no** | ***If yes:***  ***Which month(s) / since when?***  *(multiple answers possible)* | **What was the cause / reason?** (Categ.)  *(max. 2 anwers)*  *See codes* | **What were the impacts?**  *(categ.)*  *(multiple answers possible)*  *See codes* | ***How did you cope?***  *(max. 2 answers)*  *See codes* |  | ***Explanation***  Note: Time frame 6 months  See also instructions under each column |
| ***If the respondent has livestock:*** | | | | | | | |  | ***Tick “not applicable”*** if no livestock owned |
| Death of livestock, which?\_\_\_  *(not theft. Name animal species)* | |  |  |  |  |  |  |  | Name all species with deaths,  Ask then month, cause etc |
| Diseases of livestock | |  |  |  |  |  |  |  | Do not name species |
| Large fall in sale prices for livestock or livestock products | |  |  |  |  |  |  |  | Less payment per kg or animal compared to a normal year |
| Loss of productivity  (e.g. milk production) | |  |  |  |  |  |  |  | Less produce per area or animal compared to a normal year |
| Livestock raids | |  |  |  |  |  |  |  | Sensitive but important question |
| Large rise in livestock input prices | |  |  |  |  |  |  |  |  |

## Conflicts in community and family

**EXPLANATIONS – GENERAL**

[Enumerator: I would like to ask questions about different challenges that your HH might be facing. Which of these issues has your household been affected by in the past 6 months]

Sensitive but important questions! Try to get honest answers, remind of confidentiality of interview, if necessary. This is the case especially regarding livestock raiding (we don’t ask who was guilty), and domestic violence.

First questions introduces the topic (“has there been any of these conflicts”) – explain to respondent. Then proceed if answer is “yes”, by asking about conflicts between own and other community, and so on.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Description**  *I will now ask you about* ***conflicts*** *during the past 6 months?* | ***Which month(s)?***  *(multiple answers possible)*  *Name all months where the conflict happened* | | | **What was the cause / reason?** (Categ.)  *(max. 2 anwers)*  *See codes* | | | **What were the impacts**  *(categories)*  *(multiple answers possible)*  *See codes* | | | ***How did you react / cope?***  *(max. 2 anwers)*  *See codes* | | | | **Explanation**  See instructions under each column | | |
| **Was there a conflict between your community and other communities?** | | | | | 1=yes  0=no | | | | | | Definition of “own community”:  for Uganda “parish”, for Kenya “location” | | | | | |
| ***If yes:* Was the conflict with another tribe, or with another clan of your own tribe in the last 6 months?**  *(multiple answers possible)* | | | | | 1 With another tribe  2 With another clan  3 Other, which? \_\_ | | | | | | Add for each type of conflict (raiding, water etc.):  Whether it occurred (yes or no),  If yes: With which kind of group (1,2 or 3),  Then months, cause, impact, coping | | | | | |
| Was the conflict between your community and the other community around livestock raiding | | |  |  | | |  | | |  | | |  | | | |
| Was the conflict between your community and the other community around grassland access or use | | |  |  | | |  | | |  | | |  | | | |
| Was the conflict between your community and the other community around water access or use | | |  |  | | |  | | |  | | |  | | | |
| Was the conflict between your community and the other community around livestock ownership | | |  |  | | |  | | |  | | |  | | | |
| Was the conflict between your community and the other community around land ownership | | |  |  | | |  | | |  | | |  | | | |
| Other conflicts, which \_\_\_ | | |  |  | | |  | | |  | | | *Capture here also conflicts with other actors outside community.* | | | |
| **Was there a conflict between you and other members of your community in the last 6 months?**  *(outside own family)* | | | | | | | | 1=yes  0=no | | | | | | | Definition of “own community”: for Uganda “parish”, for Kenya “location”  Here capture details about whether specific conflicts occurred within own community | |
| Was the conflict between you and other members of your community around grassland access or use |  |  | | | |  | | |  | | |  | | | |  | |
| Was the conflict between you and other members of your community around water access or use |  |  | | | |  | | |  | | |  | | | |  | |
| Was the conflict between you and other members of your community around livestock ownership |  |  | | | |  | | |  | | |  | | | |  | |
| Was the conflict between you and other members of your community around land ownership |  |  | | | |  | | |  | | |  | | | |  | |
| Other conflicts, which |  |  | | | |  | | |  | | |  | | | |  | |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | |  | | | | **Explanation** | |
| **Was there a conflict between you and other members of your nuclear or extended family in the last 6 months?** | | | | | 1=yes  0=no | | |  | |
|  | **Response (1=yes**  **0=no)** | ***If yes:***  ***Which month(s)?***  *Multiple answers possible*  *Name all months when the conflict happened* | **What was the cause / reason?** (Categor.)  *(max. 2 anwers)*  *See codes* | | | **What were the impacts**  *(categ.)*  *(multiple answers possible)*  *See codes* | ***How did you react / cope?***  *(max. 2 anwers)*  *See codes* | | See instructions under column heading  Important to read all alternatives before the respondent answers. |
| Was the conflict between you and other household members around land ownership |  |  |  | | |  |  | |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Was the conflict between you and other household members around livestock ownership |  |  |  |  |  |  |
| Was the conflict between you and other household members around trust / faithfulness in household or marriage |  |  |  |  |  |  |
| Was the conflict between you and other household members around basic needs/ welfare e.g. child care, school fees, food |  |  |  |  |  | *Conflicts on decisions, incl. how to spend resources* |
| Other conflicts, which \_\_ |  |  |  |  |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| *Other problems within the household:* | |  |  |  |  |  |
| Have you been exposed to violence from another household member?  *(Physical, sexual, emotional violence or abuse)* |  |  |  |  |  | *Sensitive question. This is specifically about the respondent as a victim* |

|  |  |
| --- | --- |
| **Codes for causes/reasons during past 6 months:** [no restriction on numbers]  1= Drought, 2= flood, 3= crop disease or pest, 4= community violence, 5= natural disaster (specify),  6= household member had been ill (incl respondent), 7= economic stressors, 8 = movement restrictions,  9= other (specify), 99 = don’t know |  |
| **Codes for impacts on household**: *(Peter: create 3 variables: code 1,2 or 3; code 4 yes/no; code 5 yes/no)*  1 = Income loss, 2 = Asset loss, 3 = Both income and asset loss, 4= HH members’ death, injury, displacement  5 = other, (specify) | ***Instruction to enumerators:*** *Comment for codes 1-3: Loss of livestock and crops should be defined as:*  *1) Income loss – if HH only should have sold all of it*  *2) Asset loss - if HH should have eaten all of it in the household*  *3) Both income and asset – if HH should have sold some and eaten some* |
| **Codes for Coping strategy:** [no restriction on numbers]  1= Reduced food consumption, 2= Sold livestock, 3= Sold assets (other than livestock),  4= Sought assistance/loans from family and friends, 5=Children had to drop out of school,  6= Married off girl child/children, 7= Shifted to another location, 8= Relied on own/family savings,  9= Sought another job, 10=Community / traditional conflict resolution mechanisms,  11=keeping livestock in night guarded kraals , 12=other, (specify)\_\_\_\_\_\_\_\_\_\_\_\_ |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Has somebody in the HH consumed too much alcohol the last 6 months? |  |  |  |  |  | *Sensitive question* |

|  |
| --- |
| **Possible causes to too much alcohol drinking**   1. Exposure to alcoholism from family members/ society 2. Drinking for enjoyment 3. Drinking to forget life/social problems 4. Drinking to fit in society/sociability 5. Drinking to boost confidence/power over others 6. Others (Specify) |
| **Possible effects of too much alcohol drinking**   1. Decreased working ability/productivity of family members 2. Reduced income 3. Loss of assets 4. Loss of both assets and income 5. Increased domestic violence/crimes 6. Affected the health of the a family member 7. Others (Specify) |
| **COPING MEASURES**   1. Completely stopped drinking 2. Reduced on drinking on the frequency/amount of alcohol drinking 3. Sought guidance and counselling services 4. Others (specify) |

## Climate change

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  | | **Explanation** |
| Have climate/weather patterns changed **in the last decades**? | Yes (1) –  No (0) | If yes – in what way?  *(multiple answers possible)*   1. Drier 2. Wetter 3. More variable 4. Hotter 5. Unpredictable 6. Shorter rain season 7. More intense rain season 8. More windy 9. Season have changed   10. Other: specify \_\_\_\_\_\_\_\_\_\_\_ | | Note: Time frame last decades  Read all alternatives before respondent answers, let respondent also describe other experiences.  More variable: For example, more extremes with both hotter and colder days, faster changes in temperature, or more variation in rainfall.  Unpredictable: More difficult to know when it will be dry or rainy; traditional weather knowledge fails  More intense rain season: heavier rainfall. |
| If yes:  How was your household affected by these changes during the last season? | 1. Income loss 2. Health 3. Food / nutrition / diet 4. Migration / forced displacement 5. Other: specify \_\_\_\_\_\_\_\_\_ | | | Multiple answers possible |
| *If yes:*  How did your household cope? | 1. Adopted crop cultivation  2. Growing more drought resistant crops  3. Growing early maturing crops  4. Changed herd composition to drought resistant animals  5. Ensuring livestock treatment and vaccination  6. Migrated to a new area  7. Seeking casual labour  8. Sold off animals  9. Reduced livestock size  10. Migrated livestock to areas with more pasture and water  11. Others | | | Max. 2 answers possible  *4. “changed herd composition…”:* goats, sheep, camels and donkeys |
| How much do you worry about climate change? | 1. Extremely  2. Much  3. Moderately  4. Slightly  5. Not at all | | | Choose one option |
|  | | | **Explanation** | |
| Other comments | | | Additions eg. about shocks, crises, conflicts.  Or e.g. what specific climate variation that they worry about | |

**[Enumerator: Thank the male participant for his time]**

**==============================================================**

***\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\****

***PART 2 – FEMALE***

***\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\****

# Support, work and future *BASELINE*

## Social networks and services

**Services.** To which of these services did you household have access to in the last 12 months?

*Complete for each row if any of the HH members had access to or used the following servives during the last 12 months.* ***If yes,*** *add the number of men and women respectively in the household that have accessed the service.*

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Access to and use of services during the last 12 months** | Name/Topic of service | Is the service available?  1 yes  0 no | **How many men and how many women in the household used this service during the last 12 months?** | | **Explanation** |
| **Number men** | **Number women** | Note: time frame last 12 months |
| Extension and advisory services | Livestock |  |  |  | Extension/adv. service for livestock |
| Crops |  |  |  | For crops |
| Animal health |  |  |  | Veterinary service |
| Marketing of produce |  |  |  |  |
| Other, which |  |  |  | If other, specify which type |
| Attend other training | |  |  |  | Any training about livelihoods, health, or other topics |
| Internet access | |  |  |  | Eg on mobile phone or internet café |
| Digital or non-digital information assisting production (market, weather etc.) | |  |  |  | Can be services on the phone or in newspaper about e.g weather forecasts, market prices etc. |
| Financial services | Insurances of property, livestock, crops |  |  |  | Eg different types of insurances |
| Saving |  |  |  |  |
| Credit |  |  |  | Taken a credit (bank loan) |

|  |  |  |
| --- | --- | --- |
| **Access to health services** |  | **Explanation** |
| How long does it take to go from your home to the nearest healthcare facility, which could be a hospital, a health clinic, a medical doctor, or a health post? | \_\_\_\_\_\_\_\_\_ **minutes** | Add estimated time in minutes |
| How do you travel to this healthcare facility from your home? | 1. Walking  2. Car/truck  3. Public bus  4. Motorcycle/Scooter  5. Bodaboda motorcycle  6. Bodaboda bicycle  7. Animal-drawn cart  8. Bicycle  9. Ambulance  10. Other (specify) \_\_\_\_ | Multiple answers possible |
| Do you have access to a Village Health Person (VHp) or a Community Health Worker? | Yes/no |  |
| Are you covered by any health insurance? | 1=Yes,  0=no | Tick one option |
| If yes – which insurance? | \_\_\_\_\_\_\_\_\_\_\_\_\_ |  |

***[To Enumerator: The next sub-section is only about the female respondent’s activities]***

***[Enumerator: Now I would like to ask a few questions on kitchen gardens and any possible income generating activities that you may have.]***

## Woman’s income generating activities

|  |  |  |
| --- | --- | --- |
|  |  | **Explanation** |
| Do you have a kitchen garden where you grow vegetable or fruits? | Y/N | Here we mean a place that “belongs” to the female respondent or her HH, not shared with other community members |
| *If yes:* what size is it? | \_\_\_\_\_\_ m2 | Indicate approximate size of the planted area. |
| Did you *[the mother]* engage in any income-generating activity in the past month? | Y/N  ***If no: Move on to subsection “Future perspectives”*** | *By income-generating activity we include either cash or produce*  *Time frame: last one month* |
| If yes, which type?  *(multiple answers possible)* | (1) Agricultural production (own farm)  (2) Agricultural labour (off-farm)  (3) Non-agricultural labour (off-farm)  (4) Regular employment, government  (5) Regular employment, private formal  (6) Regular employment, informal  (7) Self-employed (no employees)  (8) Business (with employees)  (9) Making charcoal/firewood  (10) Mining  (11) Other, which \_\_\_\_ | Read categories to respondent, let her add other types if needed. |

|  |  |  |
| --- | --- | --- |
|  |  | **Explanation** |
| How many days in the **past month (=May)** did you engage in the income-generating activity? | \_\_\_\_ Days | Note time frame – last one month = Month of May at baseline to get comparable data |
| Do you come home every night when your working?  If yes - How many hours are you away from home each day (going to work, working, and coming back)? | \_\_\_\_\_ hours OR | Calculate an average for how long she is away from home when she works.  Add estimated time in hours, days or weeks  If <1 hour, give quarters by decimals (15min=0.25 hours etc) |
| If not coming home at night – how many days or weeks were you away each time? | \_\_\_\_\_ days OR  \_\_\_\_\_ weeks OR | If she is away for several days or weeks in May add average duration. Example: 3+5 days =4 average |
| Who takes care of the index child when you are away? | *(Multiple options possible)*   Not applicable (no index child)   I bring the [index] child with me to the activity   Another person (18 or older)   Another person (12 - 17)   Another person (<12) | Multiple options possible  Check “not applicable” when there is no index child in in the HH  *Several alternatives can be given* |
| How much did you in total earn from the livelihood activity/activities in the past month? | \_\_\_\_ Shilling | If she can´t answer exactly ask about estimated amount/proportion  If no income skip the next question |
| How much of that income did you spend on food for the household? | \_\_\_\_ Shilling | If she can´t answer exactly ask about estimated amount |

## Future perspectives

|  |  |  |
| --- | --- | --- |
| **Where are you 10 years from now?**  Note time frame: 10 years from now  *For each question choose one option* |  |  |
| Geographically | 1. Here, same place 2. In a town, same region 3. In a city 4. Another rural area |  |
| Livelihoods / wealth-wise | 1. Same as now 2. Better than now 3. Worse than now |  |
| Job / occupation-wise | 1. Same as now 2. Better than now 3. Worse than now |  |

|  |  |
| --- | --- |
| Other comments | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

**==============================================================================**

# Crises BASELINE +FOLLOW-UP

[Enumerator: I would like to ask questions about different challenges that your HH might be facing. Which of these issues has your household been affected by in the past 6 months]

## Livelihood shocks (Loss and diseases)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Question**  *Has your household been affected by any of these* ***events*** *during the past* ***6*** *months?* | **Not applicable**  (tick if n.a.) | **Response (1=yes, 0=no)** | ***If yes:***  ***Which month(s) / since when?***  *(multiple answers possible)* | **What was the cause / reason?** (Categ.)  *(max. 2 anwers)*  *See codes* | | | **What were the impacts?**  *(categ.)*  *(multiple answers possible)*  *See codes* | ***How did you react / cope?***  *(max. 2 answers)*  *See codes* |
| ***If the respondent has crops:*** | | | | | | ***Explanations*** | | |
| Reduced crop harvest |  |  |  |  | *Less produce per area or animal compared to a normal year.* | | | |
| Diseases or pests of crops |  |  |  |  |  | | | |
| Large fall in sale prices for crops |  |  |  |  | *Compared to a normal year* | | | |
| Large rise in agricultural input prices |  |  |  |  | *Compared to a normal year*  *(incl seeds, fertilizers, increased salaries for workers etc)* | | | |
|  | | | | | | | | |
| **Other shocks and crises** | | | | | | ***Explanations*** | | |
| Loss of salaried employment or non-payment of salary  *(Anybody’s in the household)* |  |  |  |  | |  | | |
| Lack of availability / access of food |  |  |  |  | | *E.g. due to transport problems or larger distances to food, or insuffic. Market/harvest* | | |
| Locust invasion |  |  |  |  | |  | | |
| Water shortage |  |  |  |  | | *e.g. shorter or longer drought* | | |
| Floods |  |  |  |  | |  | | |
| Wildfire |  |  |  |  | | *Natural fire or human-made fire that has gone out of control to some extent* | | |
| Loss of land due to land grabbing  *(Without compensation)* |  |  |  |  | | *Land was taken/fenced off by somebody without the respondent being compensated.* | | |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Loss of land due to erosion | |  |  |  |  | *Where erosion has become severe until the land cannot be used for production.* |
| Dwelling damaged or destroyed | |  |  |  |  | *Property that has been destroyed by nature forces or human initiative* |
| Severe disease in the family | |  |  |  |  | *Disease that was life threatening or gave high expenditures or has reduced the work force substantially* |
| Insecurity due to violent conflicts in the region | |  |  |  |  | *Conflict-related insecurity that negatively affected your normal routes/duties/work* |
| Other critical event, which |  | |  |  |  |  |

## Conflicts within community and family

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Was there a conflict between you and other members of your community in the last 6 months?**  *(outside own family)* | | | | 1=yes  0=no | | Definition of “own community”: for Uganda “parish”, for Kenya “location”  Here capture details about whether specific conflicts occurred within own community |
| Was the conflict between you and other members of your community around grassland access or use |  |  |  |  |  |  |
| Was the conflict between you and other members of your community around water access or use |  |  |  |  |  |  |
| Was the conflict between you and other members of your community around livestock ownership |  |  |  |  |  |  |
| Was the conflict between you and other members of your community around land ownership |  |  |  |  |  |  |
| Other conflicts, which |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | |  | | | **Explanation** |
| **Was there a conflict between you and other members of your nuclear or extended family in the last 6 months?** | | | | | 1=yes  0=no | | Tick one option |
|  | **Response (1=yes**  **0=no)** | ***If yes:***  ***Which month(s)?***  *(multiple answers possible)*  *(Name all months where the conflict happened)* | **What was the cause / reason?** (Categor.)  *(max. 2 anwers)*  *See codes* | **What were the impacts**  *(categ.)*  *(multiple answers possible)*  *See codes* | | ***How did you react / cope?***  *(max. 2 anwers)*  *See codes* | See instructions under column heading  Important to read all alternatives before the respondent answers. |
| Was the conflict between you and other household members around land ownership |  |  |  |  | |  |  |
| Was the conflict between you and other household members around livestock ownership |  |  |  |  | |  |  |
| Was the conflict between you and other household members around trust / faithfulness in household or marriage |  |  |  |  | |  |  |
| Was the conflict between you and other household members around basic needs/ welfare e.g. child care, school fees, food  *(Conflicts on decisions, incl. how to spend resources)* |  |  |  |  | |  |  |
| Other conflicts, which \_\_\_\_\_ |  |  |  |  | |  |  |
|  | |  |  |  | |  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| *Other problems within the household:* | |  |  |  |  |  |
| Have you been exposed to violence from another household member?  *(Physical, sexual, emotional violence or abuse)* |  |  |  |  |  | *Sensitive question. This is specifically about the respondent as a victim*  *Ask “have you experienced…”* |

|  |  |
| --- | --- |
| Codes | Explanation |
| **Codes for causes/reasons during past 6 months:** [no restriction on number of causes]  1= Drought, 2= flood, 3= crop disease or pest, 4= community violence, 5= natural disaster (specify),  6= household member had been ill (incl respondent), 7= economic stressors, 8 = movement restrictions,  9= other (specify), 99 = don’t know |  |
| **Codes for impacts on household**:  1 = Income loss, 2 = Asset loss, 3 = Both, 5 = HH members’ death, injury, displacement, 6 = other, (specify) | *code 1,2 or 3; code 5 yes/no; code 6 yes/no*  ***Instruction to enumerators:*** *Comment for codes 1-3: Loss of livestock and crops should be defined as:*  *1) Income loss – if HH only should have sold all of it*  *2) Asset loss - if HH should have eaten all of it in the household*  *3) Both income and asset – if HH should have sold some and eaten some* |
| **Codes for Coping strategy:** [no restriction on number of coping strategies]1= Reduced food consumption, 2= Sold livestock, 3= Sold assets (other than livestock),  4= Sought assistance/loans from family and friends, 5=Children had to drop out of school,  6= Married off girl child/children, 7= Shifted to another location, 8= Relied on own/family savings, 9= Sought another job, 10=Community / traditional conflict resolution mechanisms, 11=keeping livestock in night guarded kraals , 12=other, (specify)\_\_\_\_\_\_\_\_\_\_\_\_ |  |
|  |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Response (1=yes**  **0=no)** | ***If yes:***  ***Which month(s)?***  *(multiple answers possible)*  *(Name all months where the conflict happened)* | **What was the cause / reason?** (Categor.)  *(max. 2 anwers)*  *See codes* | **What were the impacts**  *(categ.)*  *(multiple answers possible)*  *See codes* | ***How did you react / cope?***  *(max. 2 anwers)*  *See codes* | See instructions under column heading  Important to read all alternatives before the respondent answers. |
| Does somebody in the household consume too much alcohol? |  |  |  |  |  | *Sensitive question*  *Ask “*do you think that somebody…” |

|  |  |
| --- | --- |
| **Codes related to too much alcohol** | **Explanation** |
| **Possible causes to too much alcohol drinking**   1. Exposure to alcoholism from family members/ society 2. Drinking for enjoyment 3. Drinking to forget life/social problems 4. Drinking to fit in society/sociability 5. Drinking to boost confidence/power over others 6. Others (Specify) |  |
| **Possible effects of too much alcohol drinking**   1. Decreased working ability/productivity of family members 2. Reduced income 3. Loss of assets 4. Loss of both assets and income 5. Increased domestic violence/crimes 6. Affected the health of the a family member 7. Others (Specify) |  |
| **COPING MEASURES**   1. Completely stopped drinking 2. Reduced frequency/amount of alcohol drinking 3. Sought guidance and counselling services 4. Others (specify) |  |

|  |  |  |
| --- | --- | --- |
| Has anyone in your household had a severe accident (incl. children)? | a) during the last 12 months  🞏 Yes 🞏 No  b) during the last 3 months   🞏 Yes 🞏 No | Note 2 different time frames – both should be answered. |
| **If yes**, complete for each household member having asevere accident | a) Household member Code \_\_\_\_  b) Cause:  1. work-related outside home  2. in household  3. violence in/between community  4. traffic accident  5. other, which? \_\_\_\_\_\_\_\_\_\_ | HH member code from Section B – Sociodemo  Indicate the code and tick the best fitting cause |
| Was there a death of a household member incl. children during the last 12 months? | Yes/no |  |
| **If yes**, | *a) How many died*  *b) Adult or child, Male or female (tick box)*  c Cause:  1. Disease  2. Accident  3. Violence in/between community  4. Other, which \_\_\_\_\_\_\_\_\_\_  d) When - month | Indicate the code and tick the best fitting cause |

## Climate change

|  |  |  |  |
| --- | --- | --- | --- |
|  |  |  | **Explanation** |
| Have climate/weather patterns changed **in the last decades**? | Yes (1) –  No (0) | If yes – in what way?  *(multiple answers possible)*   1. Drier 2. Wetter 3. More variable 4. Hotter 5. Unpredictable 6. Shorter rain season 7. More intense rain season 8. More windy 9. Season have changed   10. Other: specify \_\_\_\_\_\_\_\_\_\_\_ | Read all alternatives before respondent answers, let respondent also describe other experiences.  More variable: For example, more extremes with both hotter and colder days, faster changes in temperature, or more variation in rainfall.  Unpredictable: More difficult to know when it will be dry or rainy; traditional weather knowledge fails  More intense rain season: heavier rainfall. |
| If yes:  How was your household affected by these changes during the last season? | 1. Income loss 2. Health 3. Food / nutrition / diet 4. Migration / forced displacement 5. Other: specify \_\_\_\_\_\_\_\_\_ | | Multiple answers possible |
| *If yes:*  How did your household cope? | 1. Adopted crop cultivation  2. Growing more drought resistant crops  3. Growing early maturing crops  4. Changed herd composition to drought resistant animals   (goats, sheep, camels and donkeys)  5. Ensuring livestock treatment and vaccination  6. Migrated to a new area  7. Seeking casual labour  8. Sold off animals  9. Reduced livestock size  10. Migrated livestock to areas with more pasture and water  11. Others | | Max. 2 answers possible |
| I worry about climate change | 1. Extremely  2. Much  3. Moderately  4. Slightly  5. Not at all | | Choose one option |

|  |  |
| --- | --- |
|  | **Explanation** |
| Other comments | Additions eg. about shocks, crises, conflicts.  Or e.g. what specific climate variation that they worry about |

**==============================================================**

**This text for explaining Section J to female respondent.**

***[Enumerator: I would like to ask some questions about food security in the household, and then some detailed questions about the feeding of ……….(name of child) and yourself.]***

***Note time frame: last 4 weeks***

# Nutrition BASELINE AND FOLLOW-UP

## Food insecurity A: Household Food Insecurity Access Scale (HFIAS) Past 4 weeks

*The following questions relates to the* ***past 4 weeks*** *and should be asked to the female respondent/reference woman:*

*The index child is the youngest child in the HH aged 6-59months (<5 years) at baseline, and the index woman is his/her mother or female caretaker. If there is no child in the household, a pregnant or lactating woman should be the preferred female respondent if available.*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | | Response  *1=yes,*  *0=no* | Frequency codes:  *1. Rarely (1-2 times);*  *2. Sometimes (3-10 times);*  *3. Often (>10 times) during the past 4 weeks)* | **Explanation**  Tick yes or no and indicate the frequency for the last four weeks/1 month |
| g.1 | In the past four weeks, did you worry that your household would not have enough food? |  |  | “Enough” in this case is according to the respondents view. |
| g.2 | In the past four weeks, were you or any household member **not** able **to eat the kinds of foods** **you preferred** due to lack of resources? |  |  | According to the respondents view. |
| g.3 | In the past four weeks, did you or any household member have to eat **a limited variety** of foods due to lack of means to buy them?\* |  |  | E.g. no meat or no beans |
| g.4 | In the past four weeks, did you or any household member have to eat some foods that you really did not want to eat because of a lack of resources to obtain other types of food? |  |  | E.g. food that is normally not eaten |
| g.5 | In the past four weeks, did you or any household member have to eat a **smaller meal** than you felt you needed because there was not enough food? |  |  |  |
| g.6 | In the past four weeks, did you or any other household member have to eat **fewer meals** in a day because there was not enough food? |  |  |  |
| g.7 | In the past four weeks, was there **ever (a day when there was) no food** to eat of **any kind** in your household because of lack of resources to get food? |  |  | Including no milk for drinking |
| g.8 | In the past four weeks, did you or any household member go to sleep at night hungry because there was not enough food? |  |  |  |
| g.9 | In the past four weeks, did you or any household member go a whole day and night without eating anything because there was not enough food? |  |  | I.e. just drinking water |

## Food insecurity B: The Months of Adequate Household Food Provisioning (MAHFP)

*The following question refers to the* ***past 12 months*** *and should be answered by the female respondent (or reference woman). For all nutrition questions adjust instructions after the decision on how the female respondent should be selected have been taken (so that we only mention the female respondent or the reference woman).*

*Tick yes and no and in no, then tick the months when food was enough for the family.*

|  |  |  |  |
| --- | --- | --- | --- |
|  | **In the last 12 months, did you have enough food to eat during all the months?** | [ ] | *0=no, 1= yes* |
|  | **If no**: Which were the months in the last 12 months that you **did have** enough food to meet your family’s needs?  *[Do not read the list of months. Working backward from the current month, place a “1” in the box if the respondent identifies that month as one in which the household had enough food to meet their needs]* | None […] [all months with lack of food]  Jan [ ] Feb [ ] March [ ] April [ ]  May [ ] June [ ] July [ ] Aug [ ]  Sept [ ] Oct [ ] Nov [ ] Dec [ ] | |
|  | *If the respondent does not know the exact month,* ***record the season*** *(e.g. early dry season, end of rainy season..)* | Seasons: \_\_\_\_\_\_\_\_\_\_ | |

## Questions for index child (6-59 months)

The following questions relates to **yesterday** (from 1st meal in the morning to last meal during night – before getting up today)***.*** *They*should be asked to to the female respondent/caretaker regarding the “index child”.

***Instructions only for follow up:*** *For children aged 6-23 months all questions. For children 24-59 months only the three first questions. Skip the rest (A-J).*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **N0.** | **QUESTIONS AND FILTERS** | **Response and code** | | | **Skip** | **Explanation** |
|  |  | YES | NO | DK |  | These first three questions given about all index children. The rest only given when index child is 6-23 months old |
|  | Has [NAME] ever been breastfed? | 1 | 2 | *9* |  |
|  | Is [NAME] still breastfeeding? | 1 | 2 | *9* |  |
|  | Was [NAME] breastfed yesterday during the day or at night? | 1 | 2 | *9* |  |
|  | **Now I would like to ask you about liquids that [NAME] had yesterday during the day or at night. Please tell me about all drinks, whether [NAME] had them at home, or somewhere else. Yesterday during the day or at night, did [NAME] have…?**  *Read the list of liquids starting with ‘plain water’.* |  |  |  |  | Indicate yes, no don’t’ know. And if yes, number of times in the last 24 hours |
| A | Plain water? | 1 | 2 | *9* |  |  |
| B | Infant formula such as [***insert local examples***]? | 1 | 2 | *9* | If “no” or “DK”, skip to C |  |
| Bnum | *If “yes”: How many times did [NAME] drink formula?*  *If 7 or more, record “7”*  *If number of times not known, record “9”* | |\_\_\_| |  |  |  |  |
| C | Milk from animals, such as fresh, tinned or powdered milk? | 1 | 2 | *9* | If “no” or “DK”, skip to D |  |
| Cnum | *If “yes”: How many times did [NAME] drink milk?*  *If 7 or more, record “7”*  *If number of times not known, record “9”* | |\_\_\_| |  |  |  |  |
| Cswt | *If “yes”:* Was the milk or were any of the milk drinks a sweet or flavoured type of milk? | 1 | 2 | *9* |  |  |
| D | Yogurt drinks or sour milk such as ***[insert local names of common types of yogurt drinks / sour milk]?*** | 1 | 2 | *9* | If “no” or “DK”,skip to E |  |
| Dnum | *If “yes”:* How many times did [NAME] drink yogurt?  *If 7 or more, record “7”*  *If number of times not known, record “9”* | |\_\_\_| |  |  |  |  |
| Dswt | *If “yes”:* Was the yogurt or were any of the yogurt drinks a sweet or flavoured type of yogurt drink? | 1 | 2 | *9* |  |  |
| E | Chocolate-flavoured drinks including those made from syrups or powders? | 1 | 2 | *9* |  |  |
| F | Fruit juice or fruit-flavoured drinks including those made from syrups or powders? | 1 | 2 | *9* |  |  |
| G | Sodas, malt drinks, sports drinks or energy drinks? | 1 | 2 | *9* |  |  |
| H | Tea, coffee, or herbal drinks? | 1 | 2 | *9* | If “no” or “DK”, skip to I |  |
| Hswt | *If “yes”:* Was the drink/ Were any of these drinks sweetened? | 1 | 2 | *9* |  |  |
| I | Clear broth or clear soup? |  |  |  |  |  |
| J | Any other liquids?  *If “yes”:* what was the liquid or what were the liquids?  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | 1 | 2 | *9* | If “no” or “DK”, skip to next sub-section |  |
| Jswt | *If “yes”:* Was the drink or were any of these drinks sweetened? | 1 | 2 | *9* |  |  |

## 24h- recall index child and mother/female caretaker

*The following questions relates to yesterday (from 1st meal in the morning to last meal during night – before getting up today).*

*They should be asked to the female respondent/caretaker first regarding the “index child” (same child as for the question above, and then regarding the female caretaker (or respondent if no child in HH)*

***Note – if there is no index child, you should still ask the female respondent about her intake***

|  |  |  |
| --- | --- | --- |
| h1.1a. Name of Index child (Program to pick from socio economic page) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | h1.1b. Code of Index child (*Program to pick from socio economic page)* |
| h1.2a. Name of female respondent (program pick from socio economic page) | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | h1.2b. Code of female respondent *(program pick from socio economic page)* |
| Female caretaker/respondent: | Mother of the index child: yes/no  Pregnant: yes/no  Lactating: yes/no  If not mother, where is the mother?: Away / ill / dead | |

Now I would like to ask you about foods that [NAME of index child] and you had yesterday during the day or at night. I am interested in foods your child and you ate whether at home or somewhere else. Please think about snacks and small meals as well as main meals. I will ask you about different types of foods, and I would like to know whether your child ate the food even if it was combined with other foods in a mixed dish like [list common local examples of mixed dishes] Please do not answer “yes” for any food or ingredient used in a small amount to add flavour to a dish. Yesterday during the day or at night, did [NAME] eat:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Codes A** | **h1.5 Codes B** | | **h1.4** | **Codes** | **Codes** | | **Explanation** |
| **h1.4** |  | **1. Index child** | **2. Ref woman/ female respondent** |  |  | **1. Index child** | **2. Ref woman/ female respondent** | Use codes  Yes=1  No=2  Don’t know= 9 |
| **1** | Any foods made from grains (like maize, rice, wheat, sorghum, millet, noodles, bread, porridge)  *Add wild varieties* |  |  | **11** | Any fish or seafood, fresh or dried |  |  |  |
| **2** | Any vegetables or roots that are orange-colored inside (like sweet potato, pumpkin, carrot, orange maize)  *Add wild varieties* |  |  | **12** | Any beans or peas, (fresh or dried beans, soy bean, lentils) |  |  |  |
| **3** | Any white roots and tubers or plantains/ bananas (irish potatoes, yams, cassava, white-fleshed sweetpotato)  *Add wild varieties* |  |  | **13** | Any nuts or seeds (groundnuts, whole or "butter", sunflower seeds) |  |  |  |
| **4** | Any dark green leafy vegetables (sweetpotato leaves, cassava leaves, Rape, amaranth, pumpkin leaves masuku, gradanila, yellow peaches)  *Add wild varieties* |  |  | **14** | Soft or hard cheese |  |  |  |
| **5** | Any other vegetables (like eggplant, okra, tomatoes), cucumber  *Add wild varieties* |  |  | **15** | Chips, crisps, puffs, French fries, fried dough, instant  noodles or [insert other commonly consumed fried and salty foods] |  |  |  |
| **6** | Any other fruits (like avocado, pineapple), chipwete, guava, masau, malambe, bwemba, jambula, white peaches, strawberries, coconut)  *Add wild varieties* |  |  | **16** | Sweet foods such as chocolates, candies, pastries, cakes,biscuits, |  |  |  |
| **7** | Any fruits that are dark yellow or orange inside (ripe mango, ripe papaya, passion fruit)  *Add wild varieties* |  |  | **17** | Relief food (free of charge, provided by governmental or other organizations) |  |  |  |
| **8** | Any meat made from animal organs (like liver, heart, kidney, blood-based foods) |  |  | **18** | Foods from Supplementary Feeding Programme (WHO/UNICEF/WFP) for undernourished children) |  |  | **Only child** |
| **9** | Any other types of meat or poultry (like beef, pork, goat, bush-meat, mice, chicken, wild birds, insects) |  |  | **19** | Any other solid, semi-solid or soft food?  If “yes”: What was the food? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [mark food group if it is not yet coded “yes”] |  |  | **ONLY CHILD** |
| **10** | Any eggs |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **20** | How many times did [NAME] eat any solid, semi-solid or soft foods yesterday during the day or night?  If 7 or more times, record “7”  If number of times not known, record “9” |  |  | **ONLY CHILD** |  |  |  |
| **21** | Any other beverages and foods (tea, coffee, alcohol, etc.) |  |  |  |  |  | **Only for the mother** |

|  |  |
| --- | --- |
| Other comments | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

**==============================================================================**

**This text for explaining Section K questions to female respondent.**

***[Enumerator: I would like to ask some questions about health of the household members, and then some detailed questions about ……….(name of child) and yourself.]***

# Health + Anthropometry *BASELINE AND FOLLOW-UP*

## Health of household members

|  |  |  |
| --- | --- | --- |
| **Question** | **Categories/Details** | **EXPLANATIONS** |
| **General health of respondent** |  |  |
| In general, would you say your health is...? | 🞏 very good  🞏 good  🞏 moderate  🞏 bad  🞏 very bad | Health of female respondent, no specific time frame |
| **Household members** |  |  |
| Does any member of the household have a current disease?  (*incl. children)* | Yes/No |  |
| ***If yes****:*  Complete for each household member having acurrent disease  (*incl. chronic diseases, eg. hypertension, CVD)* | a) Code \_\_ |  |
| Disease | Name disease as text |
| Do you think that COVID-19 is a disease? | Yes – no - don´t know |  |
| Has anyone in the family been ill with COVID-19 in the past 12 months? | Yes – no - don´t know  If yes: how many? | Note time frame: past 12 months |
| Has anyone in the family died from COVID-19? | Yes – no – don’t know  If yes: how many died? | No specific time window – Since beginning of epidemic |
| How many in your household got vaccinated against COVID-19? | \_\_\_\_ (number HH members) |  |

## Child Health + vaccinations (index child)

***Instruction to enumerators prior to interview:*** *Ask mother to bring immunization card for index child to the interview. The order of vaccinations in the cards can vary between Kenya and Uganda.*

|  |  |  |
| --- | --- | --- |
| **Question** | **Categories / details** | **Explanation** |
| **Child diseases** |  |  |
| Diarrhoea last 3 months | Yes/no  If yes: see doctor/nurse? Yes / no | Diarrhoea is the passage of 3 or more loose or liquid stools per day, or more frequently than is normal for the individual. |

|  |  |  |
| --- | --- | --- |
| Malaria last 3 months | Yes/no  If yes: see doctor/nurse? Yes / no |  |
| Respiratory disease last 3 months  [*Enumerator: give examples using local names  (whooping cough, the common cold, influenza, pneumonia, asthma, wheezing etc.)]* | Yes/no  If yes: see doctor/nurse? Yes / no |  |
| Fever (except malaria) last 3 months | Yes/no  If yes: see doctor/nurse? Yes / no |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Child vaccinations** | |  | Explanation | |
| Source of vaccination information? | | 1=vaccination card  2=verbally from mother/caretaker |  | |
| *Instruction to enumerators: If available, use the immunization card to fill in the list below. If not available, ask the mother or caretaker about what they remember.* | | | | |
| **Age at vaccine** | **Vaccine** | | | **Has had the vaccine Y/N** |
| Birth | BCG | | |  |
|  | Oral Polio Vaccine (OPV) | | |  |
|  | Hepatitis B (note: Not always given at birth in Uganda) | | |  |
| Six weeks | DPT (Diphteria/whopping cough/tetanus; abbreviation varies between DPT and DTP – but same vaccination) | | |  |
|  | HIB (Haemophilus influenzae type B, HIB) | | |  |
|  | Hepatitis B (note: DPT, HIB and HEP are listed as one in Uganda. If that is yes – mark yes for all three DPT, HIB and HEP here) | | |  |
|  | Oral Polio Vaccine (OPV) | | |  |
|  | Pneumococcal vaccine | | |  |
|  | Rotavirus | | |  |
| Ten weeks | DPT (Diphteria/whopping cough/tetanus; abbreviation varies between DPT and DTP – but same vaccination) | | |  |
|  | HIB (Haemophilus influenzae type B, HIB) | | |  |
|  | Hepatitis B (note: DPT, HIB and HEP are listed as one in Uganda. If that is yes – mark yes for all three DPT, HIB and HEP here) | | |  |
|  | Oral Polio Vaccine (OPV) | | |  |
|  | Pneumococcal vaccine | | |  |
|  | Rotavirus | | |  |
| Fourteen weeks | DPT (Diphteria/whopping cough/tetanus; abbreviation varies between DPT and DTP – but same vaccination) | | |  |
|  | HIB (Haemophilus influenzae type B, HIB) | | |  |
|  | Hepatitis B *(note: DPT, HIB and HEP are sometimes listed as one in Uganda. If that is yes – mark yes for all three DPT, HIB and HEP here)* | | |  |
|  | Oral Polio Vaccine (OPV) | | |  |
|  | Pneumococcal vaccine | | |  |
|  | Rotavirus | | |  |
| Six months | Vitamin A supplement | | |  |
|  | HIB (Haemophilus influenzae type B, HIB) | | |  |
| Seven months | HIB (Haemophilus influenzae type B, HIB) | | |  |
| Nine months | Measles/MMR (measles, mumps, rubella) | | |  |
|  | Yellow fever (note: Not given in Uganda) | | |  |
| 12 months | MMR (measles, mumps, rubella) | | |  |
|  | Varicella | | |  |
| 18 months | Measles/MMR | | |  |
|  | Pneumococcal vaccine (15-18 months booster) | | |  |
|  | DPT (1st booster) | | |  |
| Any other vaccination | Age \_\_\_\_\_\_\_\_\_ Name of vaccine \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |  |

***This text for explaining subsection Anthropometry to female respondent.***

***[Enumerator: I would now like measure …. (name of index child) and yourself]***

## Anthropometry documentation

**[Instruction to enumerator: Ensure accuracy of the measurements below. For weight, ensure that the scale is on a flat surface, and the person being measured is not touching/leaning on anything.**

(same as in 24-h recall ie: Baseline and follow-up =Index child and female respondent)

|  |  |  |
| --- | --- | --- |
|  |  | **Explanations** |
| Index child (name): | Weight (kg, 2 decimals) | Use mother-child scale, measure exact weight with 2 decimals |
| Length (6-23 months)  (cm, 1 decimal) | Use table measuring board  Make a comment in “other comments” in section “anthropometry documentation”, if you have measured height instead of length of a child below 24 months. |
| Height (24 months or older)  (cm, 1 decimal) | Use standing height measuring equipment (same as for mother)  Make a comment in “other comments” in section “anthropometry documentation”, if you have measured length instead of height of a child 24 months and above. |
| MUAC (mid upper arm circumference)  (cm, 1 decimal) | Use MUAC band, measure exact circumference with 1 decimal |
| Female respondent (name): | Weight (kg, 2 decimals) | Use mother-child scale, measure exact weight with 2 decimals |
| Permanent traditional necklaces (yes/no) | Add number of permanent necklaces |
| Height ***(note: only at baseline)***  (cm, 1 decimal) | Use standing height measuring equipment (same as for child 24months and older)  If a person refuses to take off their shoes, take the measurements (height and weight) and a comment in the “other comments” in section “anthropometry documentation”. The comment should also include an estimate of the added height caused by the shoe. |
| MUAC (mid upper arm circumference)  (cm, 1 decimal) | Use MUAC band, measure exact circumference with 1 decimal |

|  |  |  |
| --- | --- | --- |
| Other comments | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |

**[Enumerator: Thank the female participant for her time]**

**===============================================================================**

# Meta data (2) – post-interview

|  |  |  |
| --- | --- | --- |
| Phone number respondent or contact person | Phone number woman \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Phone number man \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  If no number: Name / phone number of contact person/next of kin \_\_\_\_\_ | Write phone numbers for both male and female respondent. If none of them has phone, then write the number they suggest for a contact person, e.g. neighbour or relative who can reach them with information within 1-2 days |

|  |  |  |
| --- | --- | --- |
| **Result of Interview**  (one option possible) | 1. Completed  2. No household member at home or no qualified respondent at home at time of visit  3. Entire household absent for extended period of time  4. Postponed  5. Not willing to participate  6. Dwelling vacant or address not at dwelling  7. Dwelling destroyed  8. Dwelling not found  9. Other:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | **Explanation:**  Report outcome of contact – successful or not.  Select only one option. |

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